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Review

# rRNA gene silencing and nucleolar dominance: Insights into a chromosome-scale epigenetic on/off switch

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## Abstract

Ribosomal RNA (rRNA) gene transcription accounts for most of the RNA in prokaryotic and eukaryotic cells. In eukaryotes, there are hundreds (to thousands) of rRNA genes tandemly repeated head-to-tail within nucleolus organizer regions (NORs) that span millions of basepairs. These nucleolar rRNA genes are transcribed by RNA Polymerase I (Pol I) and their expression is regulated according to the physiological need for ribosomes. Regulation occurs at several levels, one of which is an epigenetic on/off switch that controls the number of active rRNA genes. Additional mechanisms then fine-tune transcription initiation and elongation rates to dictate the total amount of rRNA produced per gene. In this review, we focus on the DNA and histone modifications that comprise the epigenetic on/off switch. In both plants and animals, this system is important for controlling the dosage of active rRNA genes. The dosage control system is also responsible for the chromatin-mediated silencing of one parental set of rRNA genes in genetic hybrids, a large-scale epigenetic phenomenon known as nucleolar dominance.

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## 1. Large scale cytological effects of rRNA gene regulation: nucleolus and secondary constriction formation

The most prominent feature of a eukaryotic nucleus during interphase is the nucleolus (Fig. 1), a region that contains relatively little chromosomal DNA but is rich in RNAs, proteins and ribonucleoprotein particles, including the large and small ribosome subunits as they undergo assembly [1–3]. The location of a nucleolus is not determined randomly; instead its formation is determined by the position of a discrete chromosomal locus known as a nucleolus organizer region, or NOR [4]. This fact was discovered based on an intriguing property: upon condensation of chromosomes at metaphase, NORs fail to condense to the same extent as surrounding chromosomal sequences and thus give rise to “secondary constrictions”, with “primary constrictions” being defined as the centromeres (see Fig. 1). Heitz initially noted that nucleoli form at, or very near, the sites of secondary constrictions [5] and McClintock soon thereafter

obtained direct evidence [6]. She identified a maize line in which a chromosome break at or near the secondary constriction on chromosome 9, followed by translocation of one of the broken ends to another chromosome, caused the formation of two nucleoli during interphase and two secondary constrictions at metaphase. These observations pointed to a specific chromosomal locus that had been split into two still-functional entities, thereby defining a locus that McClintock named the “nucleolar organizer” [6].

In the same year that McClintock deduced the relationship between nucleolus formation and the NOR, Navashin noted an unusual behavior of secondary constrictions in hybrids that had been formed between different species of the plant genus *Crepis* [7]. In some F1 hybrids, secondary constrictions occurred on chromosomes inherited from both parental species, as one might expect if the constrictions were an invariant physical characteristic of the chromosomes. However, in many of the hybrids the secondary constrictions were absent from chromosomes inherited from one species, regardless of whether that species had served as the egg or pollen donor. Navashin called the phenomenon “differential amphiplasty” but the era of

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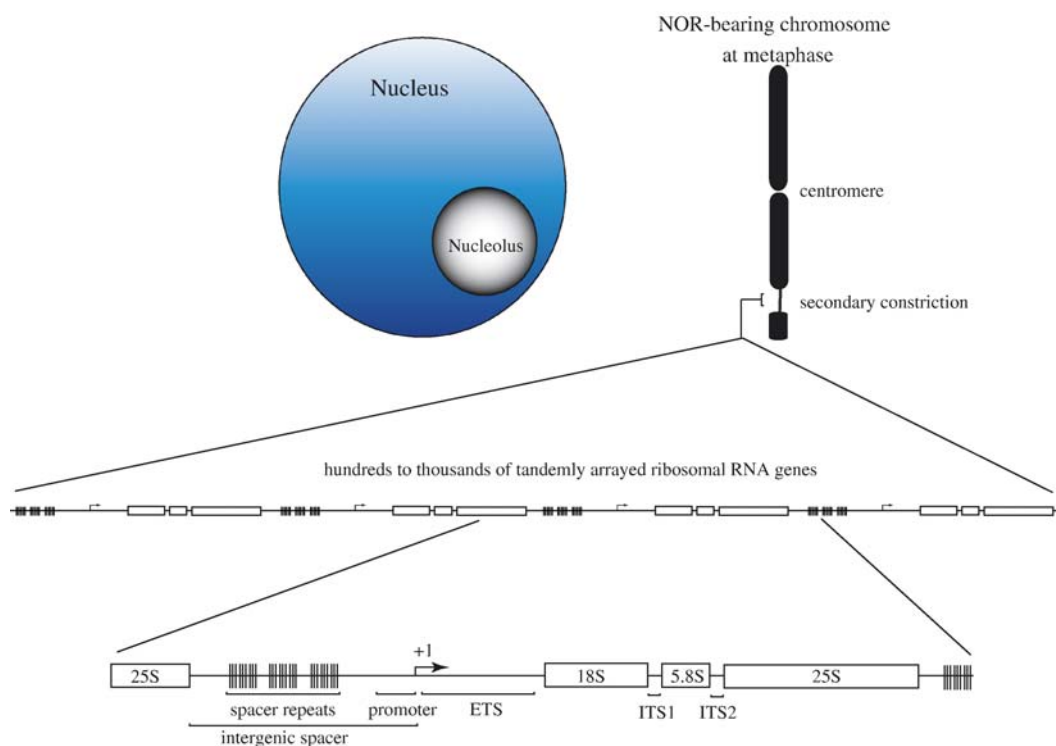


Fig. 1. Relationships between the nucleolus, secondary constriction, NOR and ribosomal RNA genes. Typically, only a subset of the rRNA genes within a nucleolus organizer region (NOR) is active; subsequent transcription by RNA polymerase I and pre-rRNA processing causes the nucleolus to form. At metaphase, the rRNA genes that had been active during the preceding interphase give rise to a secondary constriction due to the persistent binding of transcription factors. The secondary constriction is decondensed to the point that it is generally not visible using standard light microscopy techniques. Within an NOR the tandemly arrayed rRNA genes are separated from one another by an intergenic spacer that typically contains repeated elements as well as the rRNA gene promoter, at which the transcription start site is denoted as +1. The transcribed portion of the gene includes an external transcribed sequence (ETS) and two internal transcribed sequences, ITS1 and ITS2 that separate the 18S, 5.8S and 25S structural rRNA sequences. Multiple RNA processing events are needed to generate the structural RNAs from the primary transcript.

molecular biology ushered in a new name by which the phenomenon is better known: nucleolar dominance [8] (for recent reviews, see [9–11]). Navashin observed that the secondary constrictions reappeared in progeny whose karyotypes resembled the initial “pure species”, indicating that the chromosomes bearing the secondary constrictions had not been lost or damaged and that the absence of secondary constriction formation was reversible [7]. In hindsight, this was the first indication of the epigenetic nature of nucleolar dominance.

According to the most common use of the term today, epigenetic phenomena can be defined as heritable, but potentially reversible, alternative states of gene activity that are not explained by changes in gene sequence. Navashin’s observations in 1934 preceded the discovery that DNA is the genetic material or a molecular understanding of genes, so the significance of Navashin’s observations with respect to gene expression and epigenetics awaited the demonstration that NORs are loci at which repetitive, nearly identical, rRNA genes are clustered in long tandem arrays, typically spanning millions of basepairs [12,13]. Each rRNA gene within an NOR encodes a precursor transcript that can be processed to generate one molecule each of 18S, 5.8S and 25–28S (the size is species-dependent) rRNA (Fig. 1) [14,15]. The understanding of NORs as loci composed of many hundreds of rRNA genes explained McClintock’s observation that an NOR can be split

and yet retain nucleolus organizer function, which was an early indication that the information at the locus was redundant. Moreover, the molecular understanding of the NOR suggested that Navashin’s observations of differential secondary constriction formation might be a visible manifestation of differential rRNA gene transcription at NORs derived from the two progenitors [16], a prediction that was subsequently verified by Honjo and Reeder in studies of *Xenopus* (frog) hybrids [8].

What explains secondary constrictions? The answer apparently lies in the persistence of RNA polymerase I transcription factors that associate with active rRNA genes and give them a unique chromatin structure that resists condensation to the same degree as adjacent chromosomal regions [4]. The best evidence supporting this hypothesis has come very recently by the demonstration that arrays of binding sites for the vertebrate RNA polymerase I transcription factor UBF (Upstream Binding Factor) cause the formation of ectopic secondary constrictions when integrated into novel (i.e. non-NOR) locations of mammalian chromosomes. The ectopic UBF binding sites are transcriptionally inert, indicating that it is protein binding, rather than RNA polymerase I transcription, that is responsible for secondary constriction formation [17]. UBF is an extremely abundant nuclear protein in vertebrates that apparently binds throughout intergenic spacer and transcribed regions of rRNA

genes [18,19] and appears to play an important architectural role [20]. UBF persists at NORs throughout metaphase [21–23], which is when secondary constrictions are apparent, and is absent from those mammalian NORs that are not active and that do not form secondary constrictions. UBF binds cooperatively to naked DNA [24] and wraps the DNA [25] in a right-handed direction, thereby constraining positive supercoils [26,27], unlike histones which wrap DNA in a left-handed direction and constrain negative supercoils. However, UBF can also bind to nucleosomal DNA and displace linker histone H1, which plays a role in chromatin compaction [28]. Either of these binding behaviors may be sufficient for UBF to influence secondary constriction formation at NORs. Because UBF is found only in vertebrates, it is not clear what is responsible for secondary constriction formation at NORs in other organisms, including plants. However, UBF contains multiple HMG (High Mobility Group) DNA binding domains, so other HMG proteins may play UBF-like roles in non-vertebrate eukaryotes, as appears to be the case in budding yeast [29,30]. The recent demonstration that the octameric form of the bacterial histone-like protein HU wraps DNA in a right-handed direction and constrains positive supercoils [31], reminiscent of UBF's DNA binding behavior, also suggests the possibility that non-HMG proteins could serve structural roles similar to UBF.

## 2. Concerted changes in DNA methylation and histone modification comprise an epigenetic on/off switch controlling nucleolar dominance

In the past decade, an understanding of nucleolar dominance as a large-scale silencing phenomenon has provided additional insights into a chromatin-mediated on/off switch controlling rRNA genes and NORs. A number of early investigations in plants identified correlations between rRNA gene activity, cytosine methylation, and/or the accessibility of chromosomal rRNA genes to DNase digestion. For instance, in hexaploid wheat, which has multiple NORs that are differentially active, rRNA genes located within the most active NORs are hypomethylated and more DNase accessible than rRNA genes at inactive or less active NORs [32–34]. Likewise, in Triticale (wheat-rye hybrids), the inactive (underdominant) rRNA genes of rye are more heavily methylated within their intergenic spacers than are the dominant wheat rRNA genes [35,36]. However, in *Xenopus* hybrids displaying nucleolar dominance, Macleod and Bird showed a correlation between DNase accessibility and rRNA gene transcriptional dominance, but no evidence for differential cytosine methylation was apparent [37]. Collectively, these and other studies indicated a difference in the chromatin compaction of rRNA genes subjected to nucleolar dominance, but the role of DNA methylation in the process was unclear. Direct evidence that cytosine methylation plays a role in rRNA gene expression and nucleolar dominance came from simple experiments in which treatment with 5-aza-2'-deoxycytosine (aza-dC), a chemical inhibitor of cytosine methylation, caused the cytological reactivation of rye NORs in Triticale [38] and the transcriptional derepression of silent rRNA genes in *Brassica* [39] or *Arabidopsis* [40] allotetraploid hybrids.

The fact that nucleolar dominance occurs in hybrids of *Drosophila* [41], which until recently were thought to lack genomic cytosine methylation entirely [42], suggested that DNA methylation alone was unlikely to explain nucleolar dominance in all organisms, prompting an investigation of histone modifications that might also play a role. Resulting studies revealed that rRNA genes subjected to nucleolar dominance in *Brassica* or *Arabidopsis* allotetraploids can be derepressed by treatment with the histone deacetylase inhibitors sodium butyrate or trichostatin A (TSA), similar to the derepression observed upon treatment with aza-dC [39,40]. Significantly, no appreciable additivity or synergism between aza-dC and TSA occurs when plants are grown in the presence of both chemicals, indicating a partnership between DNA methylation and histone deacetylation within the same repression pathway.

The technique of chromatin immunoprecipitation (abbreviated as ChIP; see Fig. 2) has made possible several key insights that reveal the partnership between DNA methylation and various histone modifications in *Arabidopsis suecica*, the allotetraploid hybrid of *Arabidopsis thaliana* and *Arabidopsis arenosa*. In *A. suecica*, the *A. thaliana*-derived rRNA genes are selectively repressed (underdominant) whereas the *A. arenosa*-derived genes are active (dominant) [43]. Using ChIP, Lawrence et al. [40] found that nucleosomes associated with the promoter regions of underdominant *A. thaliana* genes are enriched in histone H3 dimethylated on lysine 9 (H3K9me<sub>2</sub>), a canonical mark of transcriptionally repressed and highly condensed chromatin (heterochromatin) [44]. In contrast, *A. arenosa* rRNA gene promoter regions associate with both H3K4me<sub>3</sub>, a reliable mark of active decondensed chromatin (euchromatin) and H3K9me<sub>2</sub>, suggesting that among the dominant class of rRNA genes, some genes are present in a euchromatic environment and others are organized in heterochromatin [40]. The same situation holds true in non-hybrid *A. thaliana*, which has a fraction of its rRNA genes associated with H3K4me<sub>3</sub> and a fraction associated with H3K9me<sub>2</sub> [40]. These observations are consistent with substantial evidence that only a subset of the rRNA genes present in a eukaryotic genome are typically active (discussed in greater detail in a subsequent section).

A variation of the ChIP technique, which we dubbed ChIP-chop [40], allows an estimation of the density of cytosine methylation on any DNA associated with an immunoprecipitated protein (Fig. 2). In this technique, ChIP'ed DNA is digested with McrBC, an enzyme that specifically cleaves DNA containing at least two purine-methyl-C dinucleotides which can be located at variable distances relative to one another [45]. The DNA is then amplified by PCR using primers that span the region of interest. If the DNA template is hypermethylated, it is digested (chopped) by McrBC and therefore PCR amplification of the interval fails. By contrast, hypomethylated templates are resistant to McrBC and PCR is successful in this case. ChIP-chop-PCR analysis of *A. suecica* chromatin revealed that those rRNA gene promoters associated with H3K9me<sub>2</sub> are heavily methylated on cytosines whereas rRNA gene promoters associated with H3K4me<sub>3</sub> are hypomethylated (see Fig. 3) [40]. rRNA genes immunoprecipitated with an antibody specific for RNA polymerase I are also hypomethylated [40]. Collec-

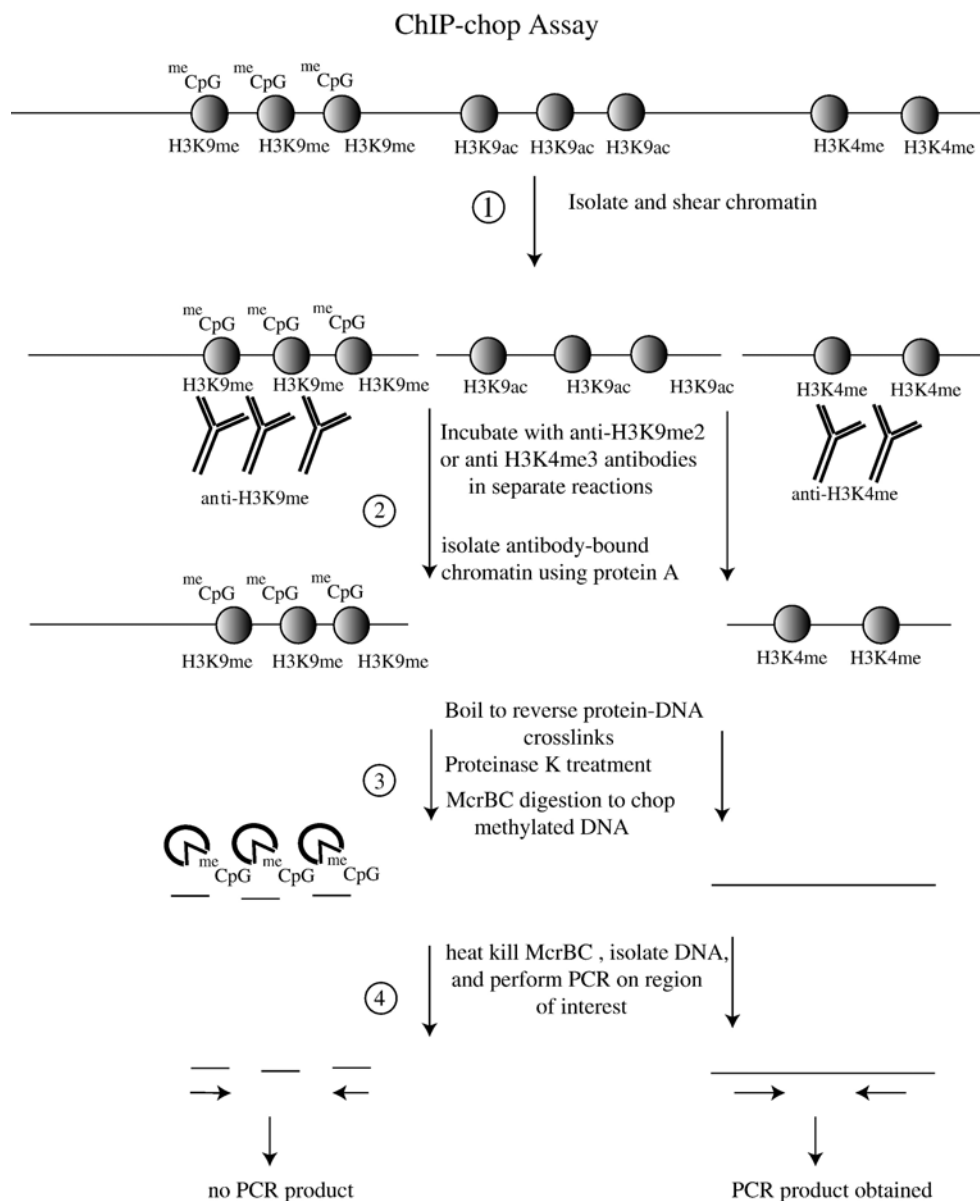


Fig. 2. The ChIP-chop assay. (1) Proteins are crosslinked to DNA using formaldehyde and chromatin is then isolated and sheared to generate oligonucleosomes. (2) The chromatin is then incubated with an antibody of choice, in this example antibodies specific for Histone H3 dimethylated on lysine 9 (H3K9me2) or H3K4me3. The antibody-bound chromatin is then immobilized on protein A agarose beads, followed by centrifugation and washing. (3) Crosslinked proteins are removed through proteinase K treatment and boiling, and the methylation-specific nuclease McrBC is incubated with the DNA. (4) Subsequent isolation of the DNA and PCR amplification of the region of interest allows one to determine if McrBC sensitivity, and therefore DNA methylation, correlates with the specific histone modifications queried.

tively, these ChIP and ChIP-chop data indicate that active rRNA genes are cytosine-hypomethylated and associate with H3K4me3 as well as RNA polymerase I. By contrast, inactive genes are associated with H3K9me2 and their promoter cytosines tend to be hypermethylated. These observations have been extended to include additional modifications that help define the on and off states of rRNA genes, as summarized in Fig. 3. For instance, in addition to H3K4me3, active rRNA genes are hyperacetylated on lysines 5, 8, 12 and 16 of histone H4 and on lysines 9 and 14 of histone H3 whereas inactive genes are depleted for these modifications [46].

Importantly, DNA methylation and histone modification states are interdependent and mutually reinforcing at rRNA genes. This was initially shown by experimentally turning on silenced rRNA genes using either aza-dC or TSA and showing that treatment with either chemical has identical consequences with respect to the suite of DNA and histone modifications that ensue [40]. Upon switching from “off” to “on”, promoters undergo a heterochromatin to euchromatin transition, losing their cytosine hypermethylation and H3K9me2 association and gaining association with H3K4me3 and other marks of active chromatin. Because inhibiting DNA methylation or histone

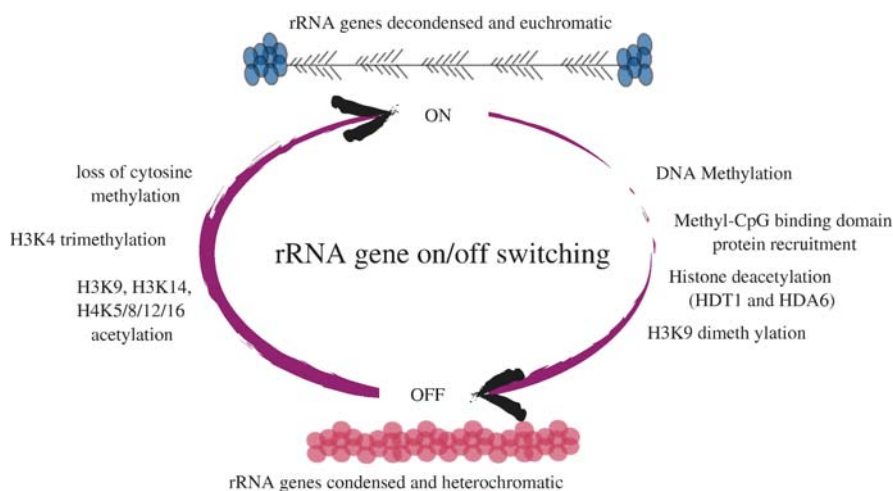


Fig. 3. A model for the epigenetic on/off switch regulating nucleolar dominance in *Arabidopsis*. Changes in rRNA gene cytosine methylation, histone deacetylation and histone methylation occur in a concerted fashion. The “off” switch involves cytosine methylation, histone deacetylation, H3K9 dimethylation and condensation of the rRNA genes into heterochromatin. In contrast, the “on” switch involves the loss of cytosine methylation, histone H3 and H4 hyperacetylation, H3K4 trimethylation and decondensation of rRNA genes into euchromatin. In the cartoon of the “on” state, a series of tandem rRNA genes are depicted with progressively longer RNA transcripts emanating from the horizontal DNA axis such that each transcription unit has a “christmas-tree”-like appearance as observed in electron micrographs of transcribing rRNA genes.

deacetylation yields the same outcome, DNA methylation and repressive histone modifications apparently specify one another as part of a self-reinforcing cycle [40,46].

### 3. Components of the rRNA gene off switch in *Arabidopsis*

Having established that epigenetic modifications are critical aspects of nucleolar dominance, identifying chromatin modifying proteins responsible for the phenomenon has become a priority. Derepression of underdominant rRNA genes upon treatment with the histone deacetylase inhibitor TSA indicated a role for at least one histone deacetylase in nucleolar dominance [39]. The *Arabidopsis* genome contains 16 putative histone deacetylases, including 10 members of the RPD3/HDA1 family, four HDT family members, and two genes related to the yeast NAD dependent histone deacetylase Sir2p. A systematic knock-down screen of predicted *Arabidopsis* histone deacetylase genes using transgene-induced RNA interference (RNAi) in *A. suecica* identified a role for two of the genes, *HDT1* [40] and *HDA6* [46] (see Fig. 3). Knocking down either of these genes results in the loss of nucleolar dominance, with derepression of the underdominant ribosomal RNA genes accompanied by a loss of cytosine methylation in the vicinity of the rRNA gene promoter and a switch from H3K9me2 to H3K4me3 association, mimicking the effects of treatment with TSA (or aza-dC). In *A. thaliana* *hda6* mutants, as in *A. suecica* *HDA6*-RNAi lines, NORs become partially decondensed and changes in rRNA gene methylation and histone modifications are observed, indicating that *HDA6* plays similar roles in non-hybrid *A. thaliana* and in the allotetraploid hybrid, *A. suecica* [46,47].

RNAi-based screens in *A. suecica* have been conducted to identify components of the DNA methylation machinery that might account for the derepression of silenced rRNA genes by

aza-dC, similar to the screen described above to identify histone deacetylases that could account for the effects of TSA. Recent studies indicate that *de-novo* DNA methyltransferase activity and two different methylcytosine binding domain proteins are required to maintain nucleolar dominance (Preuss and Pikaard, unpublished; see Fig. 3). Biochemical studies are now needed to understand how the DNA methylation and histone deacetylation machineries exert their effects on rRNA gene expression.

### 4. rRNA gene dosage control mechanisms and the mammalian NoRC complex

Findings in *Arabidopsis* concerning the molecular basis for nucleolar dominance fit well with studies of rRNA gene regulation in other systems, particularly in mammals. Ribosomal RNA gene transcription is tightly regulated in order to provide the proper amount of rRNA for ribosome assembly, according to the cellular need for protein synthesis [48–50]. Available evidence indicates that eukaryotes have more rRNA genes than are required for ribosome biogenesis under most physiological conditions such that they regulate the effective dosage of the rRNA genes they possess [51]. One level of control appears to be on/off switching to control the number of rRNA genes transcribed. This conclusion is supported by multiple lines of evidence including the molecular analyses of nucleolar dominance, as described above and summarized in Fig. 3, the transcription-dependent accessibility of only a subset of rRNA genes to the DNA crosslinking agent psoralen [52–54] and direct electron microscopic observations of rRNA genes engaged in transcription by RNA polymerase I [55,56]. All of these experimental approaches indicate that only a subset of the rRNA genes is active (for more extensive reviews on this subject, see [51] and [10]). Once the on/off decision has been

made, eukaryotic cells then appear to regulate the frequency at which RNA polymerase I initiates on each active gene in order to fine-tune the amount of rRNA produced. Studies in yeast and mammals indicate that fine-tuning is accomplished primarily through signal transduction mechanisms that lead to the post-translational modification of essential RNA polymerase I transcription factors [57–62].

A key component of the rRNA gene on/off switch in mammals is a specialized chromatin remodelling activity known as NoRC (Nucleolar Remodelling Complex; see Fig. 4) [63]. The discovery of NoRC in the Grummt lab came about in an interesting way. In mammals the transcriptional termination factor TTF-I binds to repeated RNA polymerase I transcription termination sequences at the end of the rRNA gene transcription unit [64] but also binds a site located just upstream of the minimal rRNA gene promoter where it is thought to prevent read-through of transcripts initiated from upstream. However, this latter TTF binding site also has characteristics of a position-dependent promoter element and is essential, along with added TTF-I, for transcription of minigenes assembled into nucleosomal templates [65,66]. In the vicinity of the promoter, TTF-I influences nucleosome positioning in a way that allows transcription initiation to proceed. A yeast two hybrid screen for TTF-I-interacting partners identified TIP5 (TTF-I-interaction protein #5), which, in turn interacts with SNF2h, an ATP-dependent chromatin remodeling protein. Collectively, TIP5 and SNF2h comprise NoRC [63].

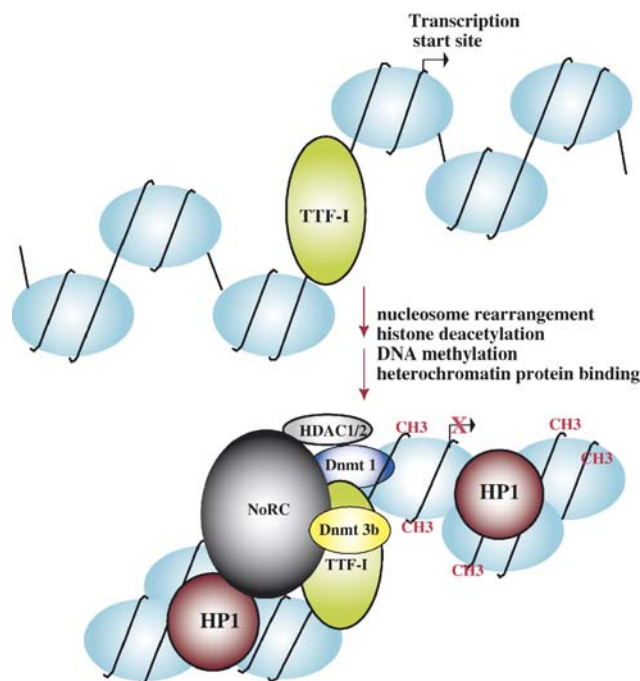


Fig. 4. NoRC-mediated ribosomal RNA gene silencing in mouse. TTF-I binding upstream of the gene promoter recruits NoRC, an ATP-dependent chromatin remodeling complex that physically associates with DNA methyltransferases Dnmt 1 and Dnmt3b and histone deacetylases HDAC1 and HDAC2. Resulting DNA methylation (denoted by CH<sub>3</sub>) and histone deacetylation facilitates H3K9 methylation, Heterochromatin Protein 1 (HP1) binding and condensation of the nucleosomal DNA into silenced heterochromatin.

A prediction was that overexpressing NoRC components would increase transcription of co-transfected rRNA minigenes in cultured cells. Surprisingly, TIP5 over-expression inhibits transcription, and does so in an aza-dC and TSA-reversible manner, implicating DNA methylation and histone deacetylation in NoRC-mediated repression [67]. Subsequent biochemical studies have demonstrated that NoRC physically interacts with the Sin3 co-repressor complex that includes histone deacetylase HDAC1 and HDAC2 and also interacts with the DNA methyltransferases DNMT1 and DNMT3 [67,68]. Collectively, the data suggest a mechanism whereby sequence-specific DNA binding by TTF-I can recruit NoRC to bring about histone deacetylation and *de novo* DNA methylation in order to help establish a repressive chromatin state, as summarized in Fig. 4.

NoRC mediated repression of mammalian rRNA genes and nucleolar dominance have a number of interesting parallels. Although there is no obvious ortholog of TIP5 in Arabidopsis, and a NoRC-like complex has not yet been identified in plants, both nucleolar dominance and mammalian rRNA gene repression involve RPD3 class histone deacetylases (HDA6 in plants, HDAC1 and HDAC2 in mammals). Histone deacetylation is also mechanistically coupled to cytosine methylation in both cases and silencing can be inhibited by blocking either modification using aza-dC or TSA. Collectively, these observations suggest that similar mechanisms regulate rRNA genes in plants and mammals [9,51]. Moreover, the chromatin modifications responsible for nucleolar dominance in Arabidopsis hybrids are also the same modifications that specify the active and inactive subsets of rRNA genes in non-hybrid *A. thaliana*, suggesting that nucleolar dominance is a manifestation of the rRNA gene dosage control system that operates in all eukaryotes [40].

## 5. Are NORs regulated as single loci, or is it every rRNA gene for itself?

Collectively, the available evidence points to rRNA gene dosage control as the reason that nucleolar dominance occurs. Nonetheless, it remains unclear how or why one parental set of rRNA genes should be selectively turned off as opposed to coordinately down-regulating the rRNA genes inherited from both progenitors. The coding regions of the rRNA genes are nearly identical in species that can interbreed, so it is highly unlikely that functional differences would exist in ribosomes derived from rRNA genes of either parent. Identifying the “choice” mechanism for selective rRNA/NOR inactivation is likely to reveal important insights into the overall regulation of rRNA genes.

How might choice come about? Because nucleolar dominance is independent of maternal or paternal effects, gametic imprinting [69–71] does not appear to be the explanation for initially choosing one progenitor’s NORs for inactivation. The choice of which NORs to inactivate is also not random, unlike X-chromosome inactivation in somatic cells of female mammals, one consequence of which is the randomly spotted coat coloration in calico cats due to the inactivation of different coat color genes on the two X chromosomes [72,73]. The number of

rRNA genes at an NOR is also not a clear predictor of nucleolar dominance because NORs with fewer rRNA genes are sometimes dominant; likewise, dominant NORs can often be outnumbered substantially upon changes in ploidy and yet remain dominant [7,74–76].

For the reasons above, it has been attractive to look to sequence differences in the rRNA genes themselves in order to try to find explanations, with differences in intergenic spacer repeat type, or repeat number having been proposed to control nucleolar dominance in *Xenopus*, wheat, and *Solanum* [34,77–80]. The only experimental evidence supporting this hypothesis has come from oocyte injection experiments in *Xenopus* using plasmid-encoded rRNA minigenes [78,81]. There are differences in the numbers and types of repeated sequences in the intergenic spacers of *Xenopus laevis* and *Xenopus borealis*. Promoters with adjacent *X. laevis* spacer sequences cloned upstream are dominant over coinjected minigenes that have *X. borealis* spacer sequences linked to the promoter, mimicking the direction of nucleolar dominance in *X. laevis*–*X. borealis* hybrids [78]. The spacers include duplicated sequences that share sequence similarity with the gene promoter and have the properties of enhancers [82–84]. Therefore differences in binding affinity of one or more limiting transcription factors to the enhancers could explain the dominance of *X. laevis* rRNA genes. Initially, it was inferred that enhancers alone are sufficient to explain the nucleolar dominance-like phenomenon in injected oocytes [78], though subsequent tests have revealed the need for a spacer promoter upstream of the enhancer repeats in order for *X. laevis* spacers to confer dominance in injected oocytes [81], suggesting a need for transcription of the spacer repeats in order to stimulate the downstream gene promoter. The mechanism by which spacer transcription might stimulate pre-rRNA transcription initiated from the adjacent gene promoter is unclear, particularly in light of recent data from the Grummt lab suggesting that spacer transcription participates in NoRC-dependent rRNA gene silencing [85].

Despite the elegance of the oocyte injection experiments in *Xenopus*, these experiments are not without their caveats. Foremost of these is the fact that a ten million fold excess of rRNA minigenes is typically injected relative to the number of endogenous rRNA genes, which may artificially create transcription factor deficiencies that endogenous rRNA genes never experience. Analogous tests in plants in which minigenes were transfected into protoplasts in numbers similar to the number of endogenous rRNA genes failed to reveal any differences in the competitive strength of dominant and underdominant genes, nor did competitive in vitro transcription assays [43,86]. Moreover, the demonstration that underdominant rRNA genes are abundantly expressed in *Arabidopsis* and *Brassica* upon blocking DNA methylation or histone deacetylation, and dominant genes are also up-regulated, suggests that transcription factors are present in sufficient amounts to support very high levels of transcription provided that the genes are in an accessible chromatin state [39,40,46]. The demonstration that the direction of nucleolar dominance can be reversed in response to changes in ploidy in *A. thaliana* × *A. arenosa* hybrids bearing 1:3, 2:2 or 3:1 genome dosages of the two progenitors [43] also does not fit

with the idea that one parental type of rRNA gene has an inherently higher binding affinity for a limiting transcription factor, in which case that gene type would always recruit transcription factors and be transcribed to some degree. There is no correlation between intergenic spacer length or repeat number in *Brassica* allotetraploids that display nucleolar dominance [76]. Likewise, nucleolar dominance occurs in marine copepods whose rRNA gene spacers lack repeated sequences entirely [87]. Collectively, these lines of evidence suggest that something other than transcription factor binding affinities account for the complete, or nearly complete, silencing of entire NORs in nucleolar dominance.

It is plausible that finding sequence differences among the rRNA genes of two different progenitors has lured us, in many cases, into focusing on those differences within each rRNA gene without appreciating the possibility that these sequence polymorphisms may only be markers linked to distant regulatory loci that might be millions of basepairs away, possibly at the edges of the NORs, or beyond. Recombination is notoriously suppressed on chromosome arms in the vicinity of NORs in plants as in other eukaryotes [88], making the possibility of a NOR locus control region linked to the observed rRNA gene sequence polymorphisms worth considering. In other words, rather than being controlled at the level of individual rRNA genes, an alternative possibility is that nucleolar dominance results from controls exerted on NORs as the regulatory units.

Evidence for the possibility that NORs are the units of regulation in nucleolar dominance has come from genetic studies of *A. thaliana* inter-ecotype hybrids. In hybrids of the Cape Verde island (Cvi) and Landsberg *erecta* (Ler) ecotypes, Ler rRNA genes tend to be repressed and Cvi rRNA genes tend to be dominant [89]. In a Cvi/Ler recombinant inbred population carried to the F8 generation, in which essentially all loci are homozygous for alleles derived from one ecotype or the other, Ler rRNA genes were found to be silenced when Cvi contributed the NOR on chromosome 4 (*NOR4*) and the NOR on chromosome 2 (*NOR2*) came from Ler [89]. The opposite combination did not trigger any appreciable rRNA gene silencing, even though the rRNA genes within an ecotype are essentially identical at both NORs. Furthermore, the presence of the Cvi *NOR4*/Ler *NOR2* combination did not always trigger efficient silencing, pointing to the involvement of other modifier loci. QTL mapping identified one locus, on chromosome three, which somehow boosts expression at *NOR4*, thereby enhancing nucleolar dominance when Cvi contributes *NOR4* and diminishing nucleolar dominance when *NOR4* comes from Ler and *NOR2* comes from Cvi [89].

The *Arabidopsis* inter-ecotype data do not fit easily into models in which nucleolar dominance is regulated at the level of individual rRNA genes. The sequences of rRNA genes are >95% identical across *A. thaliana* ecotypes [90] in our experience and the rRNA genes within an ecotype (or species) are nearly identical due to the phenomenon of concerted evolution [91,92]. Therefore it seems unlikely that sufficient differences exist between the rRNA genes on *NOR2* and *NOR4* in either Cvi or Ler plants to explain why only the Cvi *NOR4*/Ler *NOR2* combination triggers efficient nucleolar dominance.

Instead, the data point to larger scale regulation of the NORs, dictated by the involvement of unlinked loci.

## 6. Challenges ahead

The epigenetic control of rRNA gene expression appears to play an important role in the normal physiology of all eukaryotes and the selective use of this dosage control system to preferentially inactivate rRNA genes from one progenitor provides a molecular explanation for nucleolar dominance in hybrids. In plants and in mammals, essentially identical cytosine methylation and histone modifications are involved in dictating the rRNA gene on and off states, indicating an ancient origin for the epigenetic control system. As additional components of the dosage control system are identified, it will be interesting and important to determine how the various chromatin modifying activities work together in order to couple changes in DNA methylation and histone modification.

The choice mechanism(s) by which whole NORs or subsets of rRNA genes are selected for inactivation remains an intriguing mystery. Understanding these mechanisms would no doubt shed new light on how nucleolar dominance is initially established and would also tell us more about how rRNA gene dosage levels are set and maintained and/or readjusted through multiple cell cycles in non-hybrids. The possibility that regulation occurs at the level of whole NORs rather than one rRNA gene at a time deserves additional thought and experimental testing.

There is a long, rich history of cytological and molecular research into the functions of NORs and rRNA genes, but there is still much that we do not know and much more to be learned about the chromosomal control of these dynamic loci.

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